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COMMISSION ON JUDICIAL CONDUCT BEFORE THE COMMISSION ON JUDICIAL CONDUCT

OF THE STATE OF WASHINGTON

In The Matter Of:)
The Honorable Ron A. Mamiya, Seattle Municipal Court Judge) CJC No. 5930-F-144
	STIPULATION, AGREEMENT AND ORDER OF CENSURE
)

The Washington State Commission on Judicial Conduct and the Honorable Ron A. Mamiya, Judge of the Seattle Municipal Court, stipulate and agree as provided herein. This stipulation is submitted pursuant to Article IV, Section 31 of the Washington Constitution and Rule 23 of the Commission's Rules of Procedure and shall not become effective until accepted by the Commission. The Commission is represented in these proceedings by its counsel, J. Reiko Callner, and Judge Mamiya is represented by his attorney, John A. Holmes.

I. STIPULATED FACTS

- Respondent, Judge Ron Mamiya, is now and was at all times 1. referred to in this document, a Seattle Municipal Court Judge, a position he has held continuously since 1981. Respondent served as the court's presiding judge in 1984, 1988, 2007 and 2008.
- In November, 2008, Respondent, through counsel, contacted the 2. Commission's office to report that he had been involved in a consensual affair with

a Seattle Municipal Court employee. In a letter dated December 7, 2008, Respondent's counsel explained:

Earlier this year, Judge Mamiya was involved in a consensual sexual relationship with an employee of the Court. . . . Judge Mamiya was the presiding judge, but did not directly supervise the employee and had no direct involvement with her job. The employee subsequently resigned from her position. . . . [She] later told her supervisor that . . . her relationship with the judge was part of the reason [she] resigned. The relationship was unknown to anyone else at the Court prior to this disclosure.

The letter further advised that the employee retained a lawyer who provided the City of Seattle a sworn declaration by the employee claiming Judge Mamiya sexually harassed her after their relationship ended and that she felt she had to resign due to a hostile work environment created by the judge's actions. The letter also noted that Judge Mamiya and the City settled the matter without any admission of wrongdoing prior to commencement of formal litigation. Finally, Respondent acknowledged in his letter the inappropriateness of his actions, made assurances that he was committed to not repeating the mistake, and identified various remedial measures he had taken to that end, including his agreement to no longer serve as the court's presiding judge. The letter concluded with Judge Mamiya taking responsibility for his actions by stating: "Judge Mamiya's behavior was a personal and professional mistake for which he has paid, and continues to

pay, dearly. He continues to take steps to assure himself that he will not repeat that mistake."

- 3. Based upon Respondent's self-reported complaint, the Commission conducted an independent investigation into this matter pursuant to Commission on Judicial Conduct Rule of Procedure (CJCRP) 17(c). The investigation confirmed the following:
- a. In early 2008, Respondent was involved in an extramarital affair with a subordinate court employee. The affair lasted for several months, and included sexual relations on two separate occasions during February, 2008. Respondent was the presiding judge of the court at the time, but he did not directly supervise the employee.
- b. The nature of their relationship was not generally known to others until the court employee resigned from the court in August 2008. The employee initially stated her reasons for leaving were due to unrelated family medical issues. She later told a colleague at the court that her decision to resign was due, in part, to her relationship with Respondent.
- c. The employee subsequently hired an attorney, who contacted the City of Seattle in September 2008, to advise that the employee intended to assert a claim of sexual harassment, but wished to first pursue mediation of that claim before formally filing a complaint.
- d. Through the mediation process, the parties reached a settlement in November 2008. Without admitting any wrongdoing, the City of Seattle and Respondent settled any potential claims the employee might have by agreeing to each pay the employee \$67,500. Respondent further agreed to report STIPULATION, AGREEMENT AND ORDER OF CENSURE 3

this matter to the Commission, to resign his presiding judge and executive committee positions, and to participate in appropriate workplace behavior courses as designated by the court. Judge Mamiya has met all these conditions.

- e. On March 21, 2009, *The Seattle Times* published a story detailing the above settlement and attendant circumstances.
- 4. Following its independent investigation, the Commission initiated disciplinary proceedings on April 14, 2009, by serving Respondent with a Statement of Allegations pursuant to CJCRP 17(d). The Statement of Allegations alleged the Respondent violated Canons 1 and 2(A) of the Code of Judicial Conduct by "engaging in an intimate personal relationship with a subordinate Seattle Municipal Court employee which, after the relationship and the resulting claims of sexual harassment became known publicly, brought [Respondent] and the court into disrepute."
- 5. Respondent timely answered the Statement of Allegations on May 11, 2009. In his answer, Respondent acknowledged that his relationship with the court employee "was inappropriate" and that he "understands how the Commission could find that the relationship, once it became public, is a violation of the Canons." Respondent also again stated that he regrets his actions and the effects they may have had on the public perception of the judiciary. He reiterated that he clearly realizes he made a mistake and has taken remedial actions to assure he will not repeat the mistake.

II. AGREEMENT

A. Respondent's Behavior Violated the Code of Judicial Conduct.

1. Based upon the foregoing stipulated facts, Respondent and the Commission agree Respondent violated Canons 1 and 2(A) of the Code of Judicial Conduct.¹ Canons 1 and 2(A) require judges to uphold the integrity of the judiciary by avoiding impropriety and the appearance of impropriety and by acting at all times in a manner that promotes public confidence in the integrity and impartiality of the judiciary.

2. There is insufficient objective evidence to find Respondent engaged in sexual harassment. Nonetheless, while the relationship at issue was apparently consensual, an intimate relationship between a judge and a subordinate court employee is inherently problematic. As the Commission has previously observed, "intimate relationships between individuals of such unequal power and such proximity are, at best, ill-advised, and by their nature may impair the function of any work environment." Here, once the relationship and resulting claims of impropriety became known, it was highly disruptive to the court and brought Respondent – and by extension, the Seattle Municipal Court – into disrepute. Court personnel were understandably dismayed and disappointed in Respondent when they learned of the affair. The fact that public funds were used to pay for Respondent's actions further erodes public confidence in his integrity.

B. Imposition of Sanction

 The sanction imposed by the Commission must be commensurate to the level of Respondent's culpability and must be sufficient to restore and maintain

Canon 1 of the Code of Judicial Conduct provides, "Judges shall uphold the integrity and independence of the judiciary." Canon 2 provides, "Judges should avoid impropriety and the appearance of impropriety in all their activities," and Canon 2(A) specifies, "Judges should respect and comply with the law and should act at all times in a manner that promotes public confidence in the integrity and impartiality of the judiciary."

In re Fritzler, CJC No. 95-2136-F-61 (Stipulation and Order of Censure, filed August 9, 1996).
STIPULATION, AGREEMENT AND ORDER OF CENSURE - 5

the dignity and honor of the judicial position. The sanction should also seek to protect the public by assuring that Respondent and other judges will refrain from similar acts of misconduct in the future.

2. In entering this stipulation, the Commission must consider the factors set out in CJCRP 6(c).

A. <u>Characteristics of Respondent's Misconduct.</u>

- (1) Whether the misconduct is an isolated instance or evidence of a pattern of conduct. The affair lasted for a period of several months. It cannot be characterized as an isolated incident, nor is it fairly described as representing a pattern of conduct.
- (2) The nature, extent, and frequency of occurrence of the acts of misconduct. The essence of this misconduct is a violation of trust: the public and all court employees had a legitimate expectation that Respondent, as presiding judge in particular, would maintain appropriate workplace boundaries, even in the face of stresses or temptations, and be protective of the court's reputation and his own. The inequitable nature of the relationship demonstrates a lack of judgment and disregard for the norms of the workplace. The inappropriate aspect of the relationship spanned several months.
- (3) Whether the misconduct occurred in or out of the courtroom. The affair had some obvious association with court facilities, with Respondent frequently interacting and communicating with the court employee during court hours at the courthouse. However, the inappropriate aspect of the relationship primarily occurred outside the courthouse.

(4) Whether the misconduct occurred in the judge's official capacity or in the judge's private life. While the misconduct occurred in Respondent's private life, as described above, it is the nexus to Respondent's role as a judge that infringes judicial ethics. The Code of Judicial Conduct is implicated precisely because the relationship was between a judge and a subordinate employee, and, as was foreseeable, the impact of the disclosure of the relationship on others in the workplace was very negative.

- (5) Whether the judge flagrantly and intentionally violated the oath of office. There is no evidence Respondent flagrantly or intentionally violated his oath of office.
- been injurious to other persons. Respondent has acknowledged his actions hurt many people important to him, including his "wife, family and [his] community." In addition, the deleterious impact of Respondent's misconduct on the court has been significant. The court employee with whom Respondent had the affair abruptly resigned. Respondent stepped down as the court's presiding judge and relinquished his position on the court's executive committee. He took an unexpected and extended leave of absence. Court officials' and administrators' attention and energy were diverted from the business of the court to focus on the consequences of Respondent's conduct, and additional staff had to be engaged by the court to address these issues. The court and City of Seattle had to expend considerable resources responding to the misconduct, including employee time and public funds to settle a potential lawsuit, all at a time of serious fiscal crisis and strain on the Seattle Court system.

capacity to satisfy personal desires. Respondent has consistently denied engaging in unlawful harassment, and there was no judicial finding of such. The Commission's independent investigation has not shown sufficient evidence those elements exist. While there is not clear, cogent and convincing proof from the Commission's independent investigation that Respondent exploited his position as judge, his conduct constituted a failure of responsibility to that position. As a judge, particularly as the court's presiding judge, Respondent had an affirmative duty to resist engaging in improprieties that would cause others to view him with derision, precisely because he, as official spokesman and leader of the court, embodies its dignity and reputation.

(8) The effect the misconduct has upon the integrity of and respect for the judiciary. Respondent was prominent and well-respected in the community, and his role as a judge was a central aspect of his reputation. The negative impact of his misconduct has been profoundly disappointing to his workplace colleagues, lawyers who serve in that court, and the broader community that knows him.

B. <u>Service and Demeanor of Respondent.</u>

(1) Whether Respondent has acknowledged or recognized that the acts occurred. Respondent has consistently acknowledged his actions were inappropriate and has expressed remorse for them. Respondent wrote a "Statement Of Judge Ron Mamiya" that was presented to the media in which Respondent acknowledges his mistake and accepts full responsibility. Respondent also wrote a "Letter To Friends And Community Supporters" that was STIPULATION, AGREEMENT AND ORDER OF CENSURE - 8

emailed to friends and colleagues in which he again acknowledges his mistake and takes full responsibility.

- (2) Whether the judge has evidenced an effort to change or modify the conduct. Respondent's remedial actions indicate that he takes this matter seriously and has already taken steps to ensure that such behavior will not be repeated. Respondent has voluntarily attended weekly individual counseling sessions for more than a year, and he intends to continue those sessions. He attended a workshop at the Court on "Respect in the Workplace", which focused on sexual harassment issues. He has studied the Code of Judicial Conduct in depth since the incident occurred.
- (3) Respondent's length of service in a judicial capacity.

 Respondent has been a judicial officer for twenty-eight years. He has been active in the community for more than 35 years and has served on the board of many civic organizations.
- (4) Whether there has been prior disciplinary action concerning Respondent. There has been no prior disciplinary action imposed against Respondent.
- (5) Whether Respondent has cooperated with the Commission investigation and proceeding. Respondent self reported the incident as directed by his agreement with the City and has fully cooperated with the Commission's investigation.
- 3. Based upon the stipulated facts, upon consideration and balancing of the above factors, Respondent and the Commission agree that Respondent's stipulated misconduct shall be sanctioned by the imposition of a censure. A STIPULATION, AGREEMENT AND ORDER OF CENSURE 9

"censure" is a written action of the Commission that requires Respondent to appear personally before the Commission and that finds that the conduct of Respondent is a violation of the Code of Judicial Conduct that detrimentally affects the integrity of the judiciary, and undermines public confidence in the administration of justice. It is the highest level of sanction the Commission can impose on its own. With a censure, the Commission could also recommend to the Supreme Court that a respondent judge be suspended or removed from office. The Commission and Respondent agree that such a recommendation is not warranted in this matter, however. A censure shall include a requirement that the respondent follow a specified corrective course of action.

- 4. Respondent agrees that he will not repeat such conduct in the future, mindful of the potential threat any repetition of his conduct poses to public confidence in the integrity and impartiality of the judiciary and to the administration of justice.
- 5. Respondent agrees that he will promptly read and familiarize himself again with the Code of Judicial Conduct in its entirety and provide the Commission with confirmation of this fact within one month of the date of entry this stipulation, agreement and order.
- 6. Respondent agrees he will complete a course on judicial ethics at his expense approved in advance by the Commission's Chair or his designee and provide proof of completion of the course within one year of the date this stipulation is entered.

Standard Additional Terms and Conditions

- 7. Respondent agrees that by entering into this stipulation and agreement, he waives his procedural rights and appeal rights in this proceeding pursuant to the Commission on Judicial Conduct Rules of Procedure and Article IV, Section 31 of the Washington State Constitution.
- 8. Respondent further agrees that he will not retaliate against any person known or suspected to have cooperated with the Commission, or otherwise associated with this matter.
- 9. Respondent has been represented by counsel in this matter. He acknowledges that he has had an opportunity to consult with his counsel regarding this stipulation and proceeding and voluntarily enters into this stipulation and agreement.

Honorable Ron A. Mamiya

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ORDER OF CENSURE

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Based on the above Stipulation and Agreement, the Commission on Judicial Conduct hereby orders Respondent, Judge Ron A. Mamiya, CENSURED for the above set forth violations of the Code of Judicial Conduct. Respondent shall not engage in such conduct in the future and shall fulfill all of the terms of the Stipulation and Agreement as set forth therein.

DATED this 7 day of August, 2009

John Sleeter, Chair

Commission on Judicial Conduct

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